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OCT 2 7 2003

**TECHNOLOGY CENTER R3700** 

Attorney Docket: 225/49907

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**≸**pplicant:

KARL-HEINZ BAUMANN ET AL.

Serial No.:

09/854,919

Group Art Unit: 3743

Filed:

MAY 15, 2001

Examiner: DUONG, THO V.

Title:

HEAT EXCHANGER ARRANGEMENT ON A FRONT

CARRYING STRUCTURE OF A MOTOR VEHICLE

Confirmation No.: 7559 Customer No.: 23911

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This supplements the Information Disclosure Statement submitted in the above-identified application on May 15, 2001.

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

# CONCISE EXPLANATION OF NON-ENGLISH LANGUAGE DOCUMENTS

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding foreign Search Report citing such documents, together with an English-language version of that portion of the Search Report indicating the degree of relevance found by the foreign office.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action or Notice of Allowance (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement under 37 CFR §1.97(e). No fee under 37 CFR §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, the paper should be considered as a petition for consideration of the Information Disclosure Statement under 37 C.F.R. §1.97(d)(2) and that the petition fee set forth in 37 C.F.R. §1.17(i) in accordance with 37 C.F.R. §1.97(d)(3) should be charged to Deposit Account No. 05-1323 (Docket #225/49907).

Respectfully submitted,

October 24, 2003

Donald D. Evenson

Registration No. 26,160 William G. Ackerman Registration No. 45,320

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DDE/WGA/mys:38739

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## **TECHNOLOGY CENTER R3700**

Sheet 1 of 1

FORM PTO-1449

U.S. Department of Parent & Trademark Office

Attorney Docket No. 225/49907

Serial No. 09/854,919 RECEIVED

Applicant:

BAUMANN et al.

OCT 27 2003

INFORMATION DISCLOSURE **STATEMENT** 

(Use several sheets if necessary)

Filing Date May 15, 2001

Group 3843

**ECHNOLOGY CENTER R3700** 

U.S. PATENT DOCUMENTS Examiner Document Filing Date Initial Date Number Name Class Sub-Class (if appropriate) AA 5,819,408 10/13/1998 Catlin 29 897.2 AB5,271,473 12/21/1993 Ikeda et al. 180 68.4 ACADAE $\mathbf{AF}$ AGAHAIAJFOREIGN PATENT DOCUMENTS TRANSLATION Examiner Document Sub-Initial Date Country Class Number Class Yes No ΑK 0026078 05/11/2000 PCT ABAL19831256 A1 01/13/2000 Germany ABAM 19843928 A1 04/08/1999 Germany ABAN 0494116 A2 07/08/1992 Europe AO AP OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) AQARAS **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and

not considered. Include copy of this form with next communication.

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#### STATEMENT UNDER 37 CFR § 1.97(e)(1)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

October 24, 2003

Donald D. Evenson

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